

Sh et 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

MVA1001USC3

10/051,492

APPLICANT

Rudy Mazzocchi et al.

FILING DATE

GROUP

January 18, 2002

3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
1/6	FR 2,500,756	Sept. 3, 1982	France			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1/6			Search Report for Counterpart European Application EP 0 207 6318
1/6			English Language Abstract for FR 2,500,756

EXAMINER



DATE CONSIDERED

11/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

MVA1001USC3

10/051,492

APPLICANT

Rudy Mazzocchi et al.

FILING DATE

GROUP

January 18, 2002

3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	5	8	1	4	0	6	4	9/29/98	Daniel		
VB	6	3	2	5	8	1	5	12/04/01	Kusleika		
VB	6	3	7	1	9	7	1	04/16/02	Tsugita et al.		

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	WO 98/39053	9/11/98	PCT				
VB	WO 99/23976	5/20/99	PCT				
VB	WO 01/15629	3/08/01	PCT				
VB	WO 01/21100	3/29/01	PCT				
VB	EP 820729	1/28/98	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Patent Application Publication No. 2001/0012951 A1, 08/09/2001, Bates et al.
		Patent Application Publication No. 2001/0041908 A1, 11/15/2001, Levinson et al.
		Claims for S/N 08/748,066, filed 12 November 1996 (Ref. No. MVA1001USC1)
		Claims for S/N 10/051,565, filed 18 January 2002 (Ref. No. MVA1001USC2)
		Claims for S/N 10/051,591, filed 18 January 2002 (Ref. No. MVA1001USC4)
		Claims for S/N 10/051,537, filed 18 January 2002 (Ref. No. MVA1001USC5)
		Claims for S/N 10/051,648, filed 18 January 2002 (Ref. No. MVA1001USC6)
		Claims for S/N 10/060,272, filed 30 January 2002 (Ref. No. MVA1001USC7)
		Claims for S/N 10/060,271, filed 30 January 2002 (Ref. No. MVA1002USC1)
		Claims for S/N 09/824,910, filed 3 April 2001 (Ref. No. MVA1004USC1)
		Claims for S/N 10/060,854, filed 30 January 2002 (Ref. No. MVA1004USC2)
		Serial No. 10/093,572, filed 8 March 2002 (Ref. No. MVA1003US)
		Serial No. 10/132,562, filed 25 April 2002 (Ref. No. MVA1005US)
		Serial No. 10/194,355, filed July 12, 2002 (Ref. No. MVA1007US)
		Serial No. 10/194,734, filed July 12, 2002 (Ref. No. MVA1008US)
		Serial No. 10/096,624, filed 12 March 2002 (Ref. No. F&B file)
		English language abstract for DE2821048

EXAMINER

DATE CONSIDERED

11/14/04

RECEIVED

SEP 10 2002

TECHNOLOGY CENTER R3700

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC3	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

10/05/92  
01/19/02

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	2 2 8 1 4 4 8	04/1942	Mathey			
	3 3 3 4 6 2 9	08/1967	Cohn			
	3 5 4 0 4 3 1	11/1970	Mobin-Uddin			
	3 8 6 8 9 5 6	03/1975	Alfidi et al.			
	3 9 5 2 7 4 7	04/1976	Kimmel, Jr.			
	3 9 9 6 9 3 8	12/1976	Clark, III			
	4 4 2 5 9 0 8	01/1984	Simon			
	4 4 9 4 5 3 1	01/1985	Gianturco			
	4 5 0 3 5 6 9	03/1985	Dotter			
VB	4 5 5 3 5 4 5	11/1985	Maass et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
VB	0 380 666	04/89	Europe			
	0 547 530	06/93	Europe			
	2 020 557	11/79	GB			
	2 527 301	11/83	France			
	2 641 692	07/90	France			
	93/10714	06/93	WIPO			
	94/05372	03/94	PCT			
	DD 233 303	02/86	East Germany			
VB	WO 96/01591	01/25/96	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Castaneda, et al., Migration of a Kimray-Greenfield Filter to the Right Ventricle, <u>Radiology</u> , December 1983, pp. 687-690
		Allison, et al., article entitled: Therapeutic Embolization, <u>British Journal of Hospital Medicine</u> , December 1978
		Palestrant, et al. article entitled: Comparative <i>In Vitro</i> Evaluation of the Nitinol Inferior Vena Cava Filter, pp. 351-355
VB		Duerig, et al., Superelastic Nitinol for Medical Devices, <u>Medical Plastics and Biomaterials</u> , March/April 1997, pp. 30-43

EXAMINER

DATE CONSIDERED

11/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC3	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
UB	4	5	7	2	1	8	6	02/1986	Gould et al.			
	4	6	1	9	2	4	6	10/1986	Molgaard-Nielsen et al.			
	4	6	4	3	1	8	4	02/1987	Mobin-Uddin			
	4	6	4	9	9	2	2	03/1987	Wiktor			
	4	6	5	0	4	6	6	03/1987	Luther			
	4	6	5	5	7	7	1	04/1987	Wallsten			
	4	6	5	5	7	7	2	04/1998	De Liotta et al.			
	4	6	6	5	9	0	6	05/1987	Jervis			
	4	6	8	1	1	1	0	07/1987	Wiktor			
	4	6	9	0	6	8	4	09/1987	McGreevy et al.			
	4	6	9	9	6	1	1	10/1987	Bowden			
	4	7	2	3	5	4	9	02/1988	Wholey et al.			
	4	7	2	7	8	7	3	03/1988	Mobin-Uddin			
	4	7	3	2	1	5	2	03/1988	Wallsten et al.			
	4	7	3	3	6	6	5	03/1988	Palmaz			
UB	4	7	9	0	8	1	2	12/1988	Hawkins, Jr. et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

UB		Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> 147:259-260, 4/83
		Schatz, et al., "Balloon-expandable intracoronary stents in the adult dog", <u>Circulation</u> , Vol. 76, No. 2, 8/87:450-457
		Palmaz, et al. "Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting", <u>Radiology</u> 1986; 160:723-726
		Rosseau et al., "Self-expanding Endovascular Prosthesis: An Experimental Study", <u>Radiology</u> 1987; 164:709-714
		Duprat, Jr. et al., "Self-expanding Metallic Stents for Small Vessels: An Experimental Study", <u>Radiology</u> 1987; 162:469-472
		Sweden Now, "When hope is all in vein", 1988

EXAMINER

DATE CONSIDERED

4/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC3	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>VB</i>	4 7 9 3 3 4 8	12/1988	Palmaz			
	4 7 9 4 9 2 8	01/1989	Kletschka			
	4 8 0 0 8 8 2	01/1989	Gianturco			
	4 8 1 7 6 0 0	04/1989	Herns et al.			
	4 8 3 0 0 0 3	05/1989	Wolff et al.			
	4 8 4 8 3 4 3	07/1989	Wallsten et al.			
	4 8 5 6 5 1 6	08/1989	Hillstead			
	4 8 7 3 9 7 8	10/1989	Ginsburg			
	4 8 8 6 0 6 2	12/1989	Wiktor			
	4 9 2 1 4 8 4	05/1990	Hillstead			
	4 9 2 6 8 5 8	05/1990	Gifford, III et al.			
	4 9 3 5 0 6 8	06/1990	Duerig			
	4 9 6 9 8 9 1	11/1990	Gewertz			
	4 9 8 4 5 8 1	01/1991	Stice			
	4 9 9 0 1 5 6	02/1991	Lefebvre			
	4 9 9 1 6 0 2	02/1991	Amplatz et al.			
	4 9 9 8 5 3 9	03/1991	Delsanti			
	5 0 0 2 5 6 0	03/1991	Machold et al.			
	5 0 1 6 8 0 8	05/1991	Heil, Jr. et al.			
	5 0 2 6 3 7 7	06/1991	Burton et al.			
	5 0 3 4 0 0 1	07/1991	Garrison et al.			
	5 0 4 1 0 9 3	08/1991	Chu			
<i>VB</i>	5 0 5 3 0 0 8	10/1991	Baja			
	5 0 5 9 2 0 5	10/1991	El-Nounou et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>VB</i>	Dotter, "Transluminally-placed Coilspring Endarterial Tube Grafts, Long-term Patency in Canine Popliteal Artery", Investigative Radiology, Vol. 4, Sept.-Oct., 1969:329-332
EXAMINER <i>VB</i>	DATE CONSIDERED 4/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC3	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANTS Rudy Mazzocchi, et al.	
		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JS</i>	5 0 6 4 4 3 5	11/12/91	Porter			
	5 0 6 7 4 8 9	11/1991	Lind			
	5 0 6 7 9 5 7	11/26/91	Jervis			
	5 0 9 8 7 7 6	03/1992	Kobayashi et al.			
	5 1 0 8 4 1 8	04/1992	Lefebvre			
	5 1 0 8 4 1 9	04/1992	Reger et al.			
	5 1 3 3 7 3 3	07/1992	Rasmussen et al.			
	5 1 5 2 7 7 7	10/1992	Goldberg et al.			
	5 1 9 0 5 4 6	03/02/93	Jervis			
	5 1 9 2 3 0 1	03/09/93	Kamiya et al.			
	5 2 2 1 2 6 1	06/1993	Termin et al.			
	5 2 4 6 4 4 5	09/21/93	Yachia et al.			
	5 2 5 6 1 4 6	10/1993	Ensminger et al.			
	5 3 3 2 2 5 9	01/1995	Phillips et al.			
	5 3 3 4 2 1 7	08/02/94	Das			
	5 3 5 4 3 1 0	10/1994	Garnic et al.			
	5 3 8 3 8 9 2	01/1995	Cardon et al.			
	5 4 1 7 7 0 8	05/1995	Hall et al.			
	5 4 2 1 9 5 5	06/1995	Lau et al.			
	5 5 1 4 1 1 5	05/1996	Frantzen et al.			
	5 5 2 7 3 3 8	06/18/96	Purdy			
	5 5 9 7 3 7 8	01/28/97	Jervis			
<i>JS</i>	5 6 6 2 6 7 1	09/1997	Barbut et al.			
	5 6 9 0 6 7 1	11/25/97	McGurk et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>JS</i>	DATE CONSIDERED 4/14/04
-----------------------	----------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC3	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANTS Rudy Mazzocchi, et al.	
		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>VB</i>	4	8	3	2	0	5	5	05/23/89	Palestrant				

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>VB</i>	DE 28 21 048 B1	11/08/79	Germany				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Search Report for counterpart International Application (PCT/US95/08613).
EXAMINER	<i>[Signature]</i>	DATE CONSIDERED <i>11/14/04</i>
<small>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>		